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number 5 lines  
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specification

1 TITLE: A Method, and Article of the Method, for Fabricating a  
2 Flexible, Hollow Waveguide.

ALL CAPITAL  
LETTERS, CENTER

4 Field of the Invention ← Center

## 5 Best Available Copy

6 The present invention relates to waveguides and methods  
7 of making same, and more particularly to a flexible, rugged  
8 waveguide comprising a photonic, bandgap, hollow fiber,  
9 transmitting in the infrared and visible regions.

10 Initial Caps.  
11 BACKGROUND OF THE INVENTION ←

12  
13 The flexible waveguide of this invention is based upon  
14 technology disclosed in United States Patent Nos. 5,815,627;  
15 issued: September 29, 1998; 5,567,471, issued: October 22,  
16 1996; and 5,440,664; issued: August 8, 1995, which technology  
17 and teachings are meant to be incorporated herein by way of  
18 reference. The aforementioned waveguide inventions have a  
19 common inventor, James Harrington, and a common assignee,  
20 Rutgers University. In aforementioned United States Patent No.  
21 5,815,627, it was taught that waveguides are capable of  
22 guiding both IR and visible radiation. This is also a  
23 distinguishing feature of this invention. This invention,

24 however, has fabricated a waveguide that can be tailored for  
25 use over a wide wavelength range by the use of multiple,  
26 uniform, film coatings deposited by liquid phase chemistry  
27 methods. The coatings of the inventive waveguide have an  
28 additive, are fabricated by an additive technique rather than  
29 a subtractive method, i.e. the former Ag layer as taught in  
30 the aforementioned patents, was originally depleted in part,  
31 with the establishment of the AgI layer. The AgI layer is  
32 totally absent in the present application. Even the Ag layer  
33 is only present in one embodiment thereof, and is but one  
34 selection of other metals used for the metallic underlay.

35

36 This invention utilizes dielectric layers of sulfide  
37 materials, which are built in stacked geometries to fashion  
38 unique waveguide structures.

39

40 The waveguides of the present invention can be fabricated  
41 in one of two novel ways:

42

43 (a) The dielectric layers of cadmium and lead  
44 sulfide can be applied directly to the smooth  
45 bore surface of a silica glass tube; or

46

47 (b) The dielectric layers of the cadmium and lead  
48 sulfides can be applied to a metallic surface,  
49 for example, metals selected from a group  
50 consisting of Ag, Au, Cu, Pt, Ni, Pt, Mb, and  
51 Al, which has been smoothly coated upon the  
52 smooth inner bore of the silica glass tube.

A protective polymer coating is layered upon the outer surface of the tube in both embodiments. The dielectric layers of this invention comprise CdS and PbS, which form a unique compatibility pair, in which deposition of each material does not affect the underlying layer. These paired sulfides can be stacked in multiple, thin film layers to provide specific and unique characteristics. The sulfide layers are deposited using similar liquid-phase chemistry taught in the prior patents. The thickness of each layer can be tailored for use over a wide range of wavelengths. The measured losses for a single layer of CdS or a PbS film deposited over an Ag layer was in agreement with the prior Ag/AgI film studied at 10.6 $\mu$ m for applications using CO<sub>2</sub> IR lasers.

69       The CdS and PbS materials have disparate refractive  
70       indices with a ratio of about 2 to 1. This is often referred  
71       to in this technology, as the index contrast ratio. The high  
72       contrast of this layering makes possible a photonic bandgap  
73       hollow fiber. The deposit of the cadmium sulfide layer  
74       provides the transmission in the visible region.

75

76       Discussion of Related Art:

77

78       Prior-art hollow waveguides can provide poor beam quality  
79       (poor transverse spatial coherence). FIG. 12B of Gregory &  
80       Harrington and FIGS. 5 and 6 of Croitoru et al.,  
81       "Characterization of hollow fibers for the transmission of  
82       infrared radiation", Appl. Opt. v. 29, 1805-1809 (20 Apr.,  
83       1990) and Dror et al., "Hollow Tubes for Transmitting IR Laser  
84       Energy for Surgery Applications", presented to ICALEO '89  
85       (15-20 Jan., Los Angeles), are representative of the  
86       characteristics of prior art hollow waveguides.

87       United States Patent Nos. 5,815,627; issued: September  
88       29, 1998; 5,567,471, issued: October 22, 1996; and 5,440,664;  
89       issued: August 8, 1995, depict Ag/AgI film waveguides.  
90       Typically, waveguides such as are disclosed in Matsuura &  
91       Miyagi, "Low-loss metallic hollow waveguides coated with

92 durable and nontoxic ZnS", Appl. Phys. Lett. v. 61, 1622-1623  
93 (5 Oct., 1992) is superior. Waveguides with metal tube walls  
94 that serve as the supporting structure for any coatings (such  
95 as disclosed in U.S. Pat. No. 5,005,944, issued to Laakman et  
96 al., and U.S. Pat. No. 4,913,505, issued to Levy '505) may be  
97 capable of handling substantial power, but are semi flexible  
98 at best. Those with plastic tube walls (such as disclosed in  
99 U.S. Pat. No. 4,930,863, issued to Croitoru et al.) are  
100 flexible, but have marginal power-handling capability at best  
101 and high loss. Yet-earlier devices such as disclosed in U.S.  
102 Pat. No. 3,436,141, issued to Comte, U.S. Pat. No. 3,583,786,  
103 issued to Marcatili, and U.S. Pat. No. 3,963,828, issued to  
104 Onoda et al., have not proven useful for the applications of  
105 present interest at the wavelengths of present interest.

106

107 Hollow waveguide fibers having an index of refraction  
108 less than one, have not yet attained both transmission  
109 characteristics and flexibility required for many  
110 applications. However, in other respects these waveguide  
111 fibers are quite satisfactory at selected wavelengths. See  
112 Gregory & Harrington, "Attenuation, modal, and polarization  
113 properties of  $n < 1$ , hollow dielectric waveguides", Appl. Opt.  
114 v. 32, 5302-5309 (20 Sept., 1993). OK

115

116       As aforementioned, the current invention is an  
117       improvement over the waveguide devices of the prior aforesaid  
118       Harrington patents.

119

120       The present invention has made loss measurements at key  
121       laser wavelengths, e.g. as a CO<sub>2</sub> laser waveguide. The current  
122       invention provides a flexible, hollow, waveguide, and method  
123       for making same. The waveguide tube meets the need for a  
124       flexible, visible and IR region, laser transmission medium  
125       having a relatively low loss.

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SUMMARY OF THE INVENTION

*Initial Caps*

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132       In accordance with the present invention, there is  
133       featured a flexible, hollow waveguide for the transmission  
134       of radiation in the infrared and visible regions, and a  
135       method of making same. The waveguide comprises a hollow,  
136       flexible, silica-glass tube having a transparent annular  
137       body defining a bore with a smooth inner bore surface. In

138        a first embodiment, a reflective, thin film, metallic  
139        layer, consisting of a metal selected from a group of  
140        metals consisting of: Ag, Au, Cu, Ni, Pt, Mb, Zn, and Al,  
141        is coated upon the smooth inner bore surface of the silica-  
142        glass tube. A single, thin film cadmium sulfide dielectric  
143        layer, or a pair of thin film dielectric, cadmium and lead  
144        sulfide layers respectively, are then disposed upon said  
145        reflective layer. Cadmium sulfide transmits radiation in  
146        both the visible and infrared region. This pair of sulfide  
147        layers has disparate refractive indices with a ratio of  
148        approximately 2 : 1, which is vital to provide high  
149        contrast, and to fabricate a photonic, bandgap, hollow  
150        waveguide tube. In a second embodiment, no metallic layer  
151        is used, and the pair of thin film cadmium and lead sulfide  
152        layers is multiply stacked directly upon the smooth, inner  
153        bore of the silica-glass tube.

154  
155        The thin films are deposited using dynamic wet chemistry,  
156        and the thickness is tailored to minimize the attenuation  
157        of the waveguide over specific infrared wavelengths.

158  
159        It is an object of the present invention to provide ~~a~~ an  
160        improved flexible, hollow waveguide.

161

162        It is another object of this invention to provide a  
163        flexible, hollow waveguide comprising a photonic, bandgap  
164        hollow fiber.

165

166        It is yet a further object of the current invention to  
167        provide a flexible, hollow waveguide, which transmits  
168        radiation in both the visible and infrared region.

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175        BRIEF DESCRIPTION OF THE DRAWINGS

*Initial  
Caps*

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177        A complete understanding of the present invention may be  
178        obtained by reference to the accompanying drawings, when  
179        considered in conjunction with the subsequent detailed  
180        description, in which:

181

182        FIGURE 1 depicts the growth kinetic graph of the thin  
183        films deposited in the Ag coated 1,000- $\mu$ m bore waveguide;

184

185 FIGURE 2 shows the graph of the UV-VIS spectra of a  
186 1,000- $\mu$ m bore Ag/CdS waveguide

187

188 FIGURE 3 illustrates the graph of the FTIR spectra of a  
189 1,000- $\mu$ m bore Ag/Pbs waveguide;

190

191 FIGURE 4 depicts a graph of the cross-sectional FESEM  
192 image of a 1,000- $\mu$ m bore Ag/CdS/PbS waveguide;

193

194 FIGURE 5 shows a graph of the FTIR spectra of a 1,000- $\mu$ m  
195 bore of Ag/CdS and Ag/PbS, Ag/CdS/PbS and Ag/CdS/PbS/CdS.  
196 waveguide;

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198 FIGURE 6 illustrates a partial schematic view of the  
199 first embodiment of the waveguide of this invention;

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201 FIGURE 6a depicts a partial schematic view of the second  
202 embodiment of the waveguide of this invention;

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205

DESCRIPTION OF THE PREFERRED EMBODIMENT

Invention  
Caps

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207       Generally speaking, a flexible, hollow, waveguide is  
208       featured, that functions in the infrared and visible regions.

209       The waveguide comprises a hollow, flexible, silica-glass tube  
210       having a smooth borethat is coated in one embodiment with a  
211       reflective, metal substance on the inner bore surface. A pair  
212       of sulfide materials respectively of cadmium and lead sulfide,  
213       is then layered over the reflective substance. The sulfide  
214       materials form a high contrasting refractive index of  
215       approximately 2 : 1, thus creating a photonic, bandgap tube.  
216       In a second embodiment, the metallic layer is not used, and  
217       the pair of sulfide layers is singularly or multiply stacked  
218       directly upon the bore.

219

220       There are two embodiments of the invention. The first  
221       embodiment fabricates the waveguide by liquid phase deposition  
222       of a metallic, reflective layer, usually Ag upon the smooth  
223       inner bore surface of the silica-glass tube. Other metals of  
224       choice such as Au, Cu, Ni, Pt, Zn, Mb, Al, etc., can also be  
225       used. Then, a single or pair of sulfide-containing  
226       dielectrics is coated over the metal. In the second  
227       embodiment of the invention, no metallic or reflective layer

228 is deposited, and only pairs of sulfide-containing dielectric  
229 films are coated upon the smooth inner bore.

230

231 Now referring to FIGURE 6, a first embodiment of the waveguide  
232 1 of this invention is illustrated. The waveguide 1,  
233 comprises a silica-glass tube 3, having a thin-wall of  
234 approximately 50 to 200 microns wall thickness, and a smooth  
235 inner bore. A reflective, metallic layer 4 is coated over the  
236 smooth bore using liquid phase chemistry, as taught in the  
237 aforementioned patents. The reflective layer may comprise Ag,  
238 Au, Ni, Cu, Al, Pt, Zn, Mb, etc. A single layer of cadmium  
239 sulfide, or paired sulfide composite of cadmium sulfide and  
240 lead sulfide, respectively, is layered over the reflective  
241 layer 4. The sulfide composite comprises respective layers 5  
242 and 6, of cadmium and lead sulfides. The sulfide materials  
243 form a high contrast, refractive index of approximately 2 : 1  
244 creating a photonic, bandgap tube. The silica-glass tube 3 is  
245 covered with an outer layer 2 of plastic for protection.

246

247 Referring to FIGURE 6a, a waveguide 10 is shown having a  
248 flexible, hollow, silica-glass tube 11 upon which is stacked  
249 at least one pair of cadmium and lead sulfide layers 12 and  
250 13, respectively. A multiplicity of pairs of cadmium and lead

251 sulfide layers 5 and 6 can be deposited over the first pair,  
252 as shown in phantom.

253  
254 Examples: *No Bold*  
255

256 1. A smooth, inner bore surface 3 of a flexible  
257 hollow tube 2 of a waveguide 1, is coated with a  
258 metallic, reflective layer 4 of silver. The  
259 silver layer 4 was then coated with cadmium  
260 sulfide to form layer 5, and then coated with  
261 lead sulfide to form layer 6. Each layer was  
262 coated using liquid phase chemistry, utilizing a  
263 peristaltic pump. The flow rate of the  
264 solutions through the hollow tube was chosen at  
265 30 ml/min.

266 The cadmium sulfide coating was applied over  
267 the Ag coating, using one of the two chemical  
268 baths.

269 1. I) Cadmium Nitrate 0.1 M, II) thiourea 0.5 M -  
270 this is considered a full concentration  
271 solution. For most depositions, the  
272 concentration was reduced to 1/2 to 1/6 the  
273 full concentration.

274                   2. I) Cadmium acetate 5mM, II) thiourea 100mM.

275  
276                   All the coating solutions were prepared in  
277                   distilled and deionized water. The solutions are  
278                   prepared using an ultrasonicator or a magnetic  
279                   stirrer.

280                   The Cd ion containing solution is complexed  
281                   with ammonium hydroxide solution. Initial addition  
282                   of ammonia will form a white precipitate of Cd(OH)<sub>2</sub>  
283                   and the solution becomes turbid. With further  
284                   addition of ammonia dissolves the white precipitate  
285                   and forms a soluble Cd-ammonia complex. The solution  
286                   pH is maintained between 10 and 13 using sodium  
287                   hydroxide solution and nitric acid as titrating  
288                   agents. The second solution of sulfide ion is  
289                   prepared by dissolving thiourea in water. The two  
290                   solutions were then flowed through the hollow tube  
291                   and coated the Ag. The complexed Cd ion solution  
292                   reacts with the sulfide ion containing solution to  
293                   deposit <sup>a</sup>  
                        <sup>A</sup> thin film of CdS. The hollow tube was dried  
294                   in flowing air.

295                   2. For deposition of PbS thin films, lead nitrate was  
296                   used as the source of Pb ions and thiourea or a mix of

297 thiourea and thioacetamide was the source of sulfide  
298 ions. All the solutions were prepared in distilled and  
299 deionized water (DI). The solutions are prepared using  
300 an ultrasonicator or a magnetic stirrer. The chemical  
301 bath used for the deposition of lead sulfide is as  
302 given below:

303 Solution 1: A)  $\text{Pb}(\text{NO}_3)_2$  : 4g / 500 ml; b) NaOH: 12  
304 > g/500ml and

305 Solution 2: thiourea: 6g/ 1000 ml or thiourea 4g and  
306 thioacetamide 2 g to 1000ml of water.

307 The NaOH solution is slowly added to the  $\text{Pb}(\text{NO}_3)_2$   
308 solution while continuing to stir. Initially the  
309 solution turns turbid due to the precipitation of  
310  $\text{Pb}(\text{OH})_2$  which dissolves to form a soluble Na-Pb-  
311 hydroxide complex on further addition of NaOH. The  
312 complexed lead ion reacts with a sulfide ion in  
313 solution to form PbS. Deposition of PbS requires that  
314 the solutions containing Pb and S ions are  
315 supersaturated in order to precipitate out in solution  
316 and nucleate heterogeneously on the substrate. The  
317 bore surface of the waveguide was coated with the  
318 solutions using the peristaltic pump as previously  
319 shown. Surfaces were air dried.

320

321       3. Infrared and visible region investigation of Ag/CdS,  
322                   Ag/PbS, Ag/CdS/PbS and Ag/CdS/PbS/CdS waveguides, as  
323                   can be observed with reference to the FIGURES 1  
324                   through 3 and 5~~X~~, will now be described.

325

326       In the original work on omni directional waveguides authored by  
327                   Fink, et al. ~~(insert ref. 3 into p. 26)~~ an all-dielectric structure of alternating low/high  
328                   index films was described. In this invention, use of a metallic  
329                   film in conjunction with a multilayer dielectric stack means that  
330                   the waveguide will need fewer dielectric layers to achieve the same  
331                   loss as an all dielectric omni directional structure. CdS and PbS  
332                   films are transparent in the 2 to 12  $\mu\text{m}$  region. The refractive  
333                   indices of CdS and PbS are 2.25 and 4.27 at 1.55  $\mu\text{m}$  and 2.25 and  
334                   4.0 at 10.6  $\mu\text{m}$ , respectively. This gives an index contrast of  
335                    $4.27/2.25 = 1.9$  at 1.55  $\mu\text{m}$  and 1.78 at 10.6  $\mu\text{m}$ . These two  
336                   dielectric materials and other sulfides such as ZnS and ZnSe may be  
337                   deposited in thin film form using straightforward solution  
338                   chemistry methods. ~~(insert refs. 4+6 from p. 26)~~ Furthermore, these two materials are  
339                   compatible, and the wet chemistry methods used to deposit both  
340                   films are similar. ~~(insert refs. 7+8 from p. 26)~~

341

342 Dielectric-coated metallic hollow waveguides of this invention are  
343 designed to minimize the attenuation of the waveguide over a  
344 particular IR wavelength region by optimizing the thickness of each  
345 dielectric layer. The well-established theory of Miyagi and  
346 Kawakami<sup>(insert ref. 9 from pg. 27)</sup>  
347 was used to calculate the expected losses for multilayers  
348 of CdS and PbS coatings. Using this theory, and the n and k values  
349 for CdS and PbS, the losses for single and multilayer films at both  
350 1.55 and 10.6  $\mu\text{m}$  were calculated. The calculations at 10.6  $\mu\text{m}$  show  
351 that a 1,000- $\mu\text{m}$  bore of a hollow glass waveguide with a 3-layer <sup>stack</sup>  
352 stack  
353 of CdS/PbS/CdS films deposited over Ag will have a straight loss of  
354 0.016 dB/m compared to a straight loss of 0.07 dB/m for a single  
355 layer CdS film deposited over Ag. That is, the calculated  
356 attenuation coefficients are approximately four times less for the  
357 three layer design, compared to a single dielectric layer at 10.6  $\mu\text{m}$ ,  
358

359 As aforementioned, hollow glass waveguides are prepared in a  
360 two step process in which an Ag film is first deposited on the  
361 inner surface of the silica tubing and then a dielectric layer of  
362 CdS or PbS is deposited on top of the metallic layer. For  
363 multilayer dielectric structures, sequential deposition of  
364 alternating low/high films leads to the structures, Ag/CdS,  
Ag/CdS/PbS, and Ag/CdS/PbS/CdS. The Ag film is deposited on the  
inner surface of the silica tubing using a liquid-phase reduction

art

reaction typical of that used in the prior ~~patents to Harrington~~  
using Ag/AgI hollow glass waveguides<sup>(10-12)</sup>. The thickness of the  
Ag film is chosen to be sufficiently thick to prevent any  
transmission through the film, but thin enough so that the  
surface roughness is as low as possible. Specifically, the  
thickness of the Ag film is chosen to be at least ten times the  
skin depth at 10.6  $\mu\text{m}$ . The skin depth of an Ag film at 10.6  $\mu\text{m}$   
is 12 nm. In all the experiments CdS and PbS have been deposited  
on Ag films that vary in thickness from 150 to 200 nm. At this  
thickness the Ag films are quite smooth (< 12 nm rms roughness)  
and, therefore, they provide a good surface for the deposition of  
the dielectric layers. A very important feature of the CdS and  
PbS coatings is that they are additive and, thus, independent of  
the Ag film. This is in strong contrast to the waveguides made  
using AgI coatings<sup>(13,14)</sup>. AgI is deposited in a subtractive  
process in which AgI is formed by the diffusion of iodine ions  
into the underlying silver film. Therefore, to produce a thick  
dielectric layer of AgI will require a thick starting layer of  
Ag. In ~~the~~ article by Rabii and Harrington<sup>(15)</sup> have shown that the  
surface roughness of both the Ag and the AgI film increases with  
increasing thickness of the Ag layer.

386

387 **Additional Examples:**

Move to  
next page, No bold

388

389       As aforementioned, CdS and PbS thin films were deposited using  
390       a wet chemistry deposition technique<sup>7</sup>. Cadmium nitrate and  
391       cadmium acetate are used as the source of Cd ions; lead nitrate  
392       the source of Pb ions; and thiourea,  $(SC(NH_2)_2)$ , the source of S  
393       ions. It is important to accurately control pH of these  
394       solutions, since the CdS and PbS precipitates are stable only in  
395       the pH range 10 to 13. <sup>The</sup> pH control and chelating is achieved  
396       using an ammonia solution for CdS and sodium hydroxide for PbS.  
397       Specifically, the pH for aqueous  $Cd(NO_3)_2$  is maintained between 11  
398       and 12. Owing to the fact that the reaction rate also changes  
399       with the pH, it is necessary to calibrate the process for a given  
400       pH range. The thickness of both CdS and PbS increases with  
401       increasing concentration of Cd, Pb and S ions in solution and  
402       with increasing deposition time. From a study of the growth  
403       kinetics for both CdS and PbS thin films, it has been  
404       established, that the optimal concentration and flow rate  
405       conditions for uniform film deposition for tubing require lengths  
406       greater than 1.5 m. The best coatings were made using > 0.01 M  
407       solutions and pumping rates of 30 ml/min.

408

409       The multilayer dielectric structures of Ag/CdS/PbS and  
410       Ag/CdS/PbS/CdS, were prepared in a manner similar to the single-

411 layer dielectric metallic waveguides. The different layers were  
412 coated in a sequential manner with an intermediate drying step  
413 after coating each layer. An Ag-only tube was coated with a  
414 single layer of either CdS, or PbS, when the 2- and 3-layer  
415 structures were being coated as an independent check on the  
416 thickness for each layer.

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No underlining

417

418 Optical characterization of Ag/sulfide film Waveguides:

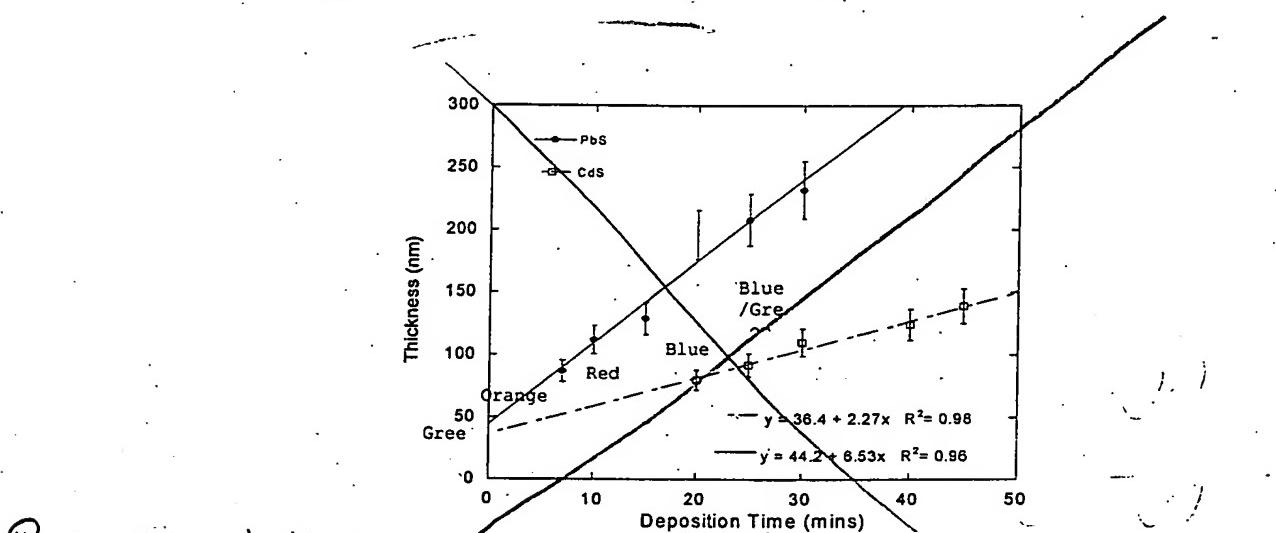
419

420 The spectral characteristics of the Ag/CdS and Ag/PbS hollow  
421 glass waveguides were evaluated using a Perkin Elmer UV-VIS  
422 spectrometer, and Nicolet Protégé FTIR. A typical spectral  
423 response shows interference peaks, which depend on the thickness  
424 of the dielectric thin films. The thickness of a single layer  
425 dielectric, "d", was calculated from the peak position of the  
426 longest-wavelength interference band,  $\lambda_p^{(m)}$ , using the relation ~~(1)~~,

$$d = \frac{m \cdot \lambda_p^{(m)}}{4 \cdot \sqrt{n_1^2 - 1}}, \quad (1)$$

427 where m is the order of the interference maxima ( $m=1$  for the  
428 longest-wavelength band);  $\lambda_p$  is the wavelength of the  $m^{\text{th}}$   
429 absorption peak; and  $n_1$  is the refractive index of the dielectric  
430 film. From ~~Eq.~~ (1) it is observed, that the peak position  
431 shifts to longer wavelengths as the thickness of the film

433 increases. Spectral data has been used to determine the  
434 thickness of films prepared using different growth kinetics. The  
435 film thickness obtained from the optical measurements has then  
436 been correlated with direct thickness measurements using a  
437 field-emission scanning electron microscope (FESEM). In this  
438 way one obtains the growth kinetic curves for CdS and PbS  
439 deposited on Ag, <sup>as</sup> shown in Fig. 1. Figure 1.



441 P - In Figure 1, the  
442 Fig. 1 The growth kinetic curves of the CdS and PbS thin films  
443 deposited in a Ag coated, 1,000  $\mu\text{m}$  bore Hollow Glass Waveguide, are  
444 show.  
445 datum points of curves 60 and 62 represent  
446 The color indicated is the color that one would see looking  
447 through the waveguides with an optical microscope, and  
are representative of film thickness.

448 All films were prepared using 0.01 M solutions and a flow rate  
449 of 30 ml/min. The growth kinetics curves in Fig 1 indicate that

450 CdS (open symbols) has a slower growth rate on Ag compared to PbS  
451 (solid symbols) under similar conditions. The reason for the  
452 slower growth rate for CdS compared to PbS, is that the CdS  
453 complexes with the ammonia used in the deposition and this  
454 decreases the rate of deposition. The data also shows that the  
455 thickness of both dielectrics increases linearly with time, with  
456 growth rates of ~ 2.3 and 6.9 nm/min for CdS and PbS,  
457 respectively. The mechanism for linear growth is based on the  
458 <sup>(Insert from p. 28)</sup> Stransi-Krastanov model of island-like growth<sup>ref</sup>. This mechanism  
459 involves nucleation and growth in the linear growth region. This  
460 information is important as we need to carefully control film  
461 thickness for single and multilayer structures. The UV-VIS  
462 spectra for the Ag/CdS is given in Fig. 2, and the FTIR spectra  
463 for the Ag/PbS in Fig. 3. The spectral data clearly show that the  
464 position of the interference peaks<sup>50,51,52, and 53, respectively</sup> shifts to longer wavelengths as  
465 the thickness of the film increases as predicted by Eq. (1). It <sup>Equation</sup> ~~has~~  
466 <sup>been observed relative to Figure 2,</sup> also ~~noted from the insert photos in Fig. 2,~~ that the Hollow Glass  
467 Waveguides show a color variation. This is due to selective  
468 filtering of the input white light by the thin film coating  
469 (interference effect). This color variation is seen with the CdS  
470 coatings, but not the PbS films, because these films do not  
471 transmit well at visible wavelengths.

472

473 The thickness of the thin films was obtained by direct  
474 measurements using a FESEM. A photomicrograph taken with the  
475 FESEM for a typical cross-section of the thin film combination  
on a silica substrate 44 of  
476 Identified as 45, 46, and 47, respectively.  
477 Ag/CdS/PbS is shown in Fig. 4. The CdS film appears darker than  
478 the PbS film, because the in-lens detector produces a negative  
479 image of the secondary electron image. Also, the silica substrate 44 is a portion of the tube 3,  
480 Figure  
481 films shown in Fig. 4 are; Ag 154 nm, CdS 169 ± 16 nm, PbS 82 ± 6  
482 nm. Degradation was not observed for the underlying film, when  
483 the new film is deposited over it. That is, CdS and PbS do not  
484 react with each other during the deposition of successive layers.  
485 The film thickness measured from the FESEM micrographs of both  
486 single and multilayer dielectric film are summarized in Table V  
487 below. These results agree very well with the optical thickness  
488 measurement.

487 Table A. Thickness values for CdS and PbS thin films on Ag  
488 determined from FESEM images

HGws	Thickness of		Thickness of	
	Ag	CdS	PbS	
	nm	nm	nm	
Ag/CdS	156	172 ± 16		
Ag/PbS	158	—		96 ± 16
Ag/CdS/P	154	169		82 ± 6

489

490 A series of 1,000- $\mu\text{m}$ -bore HGWs with 1, 2, and 3 dielectric layers  
491 deposited over Ag were fabricated using wet chemistry methods [8,  
492 11]. The spectral losses for these straight waveguides are shown  
493 in Fig. 5. From Fig. 5, it may be seen that the addition of each  
494 dielectric layer shifts the interference peaks to longer  
wavelengths. This is a result of the increase in thickness with  
each additional layer.

497

498 The thickness of each dielectric layer was determined from a witness  
499 sample composed of each dielectric layer deposited separately on a slide.  
500 These witness samples were deposited along with the multilayer waveguides.  
501 From the witness samples we determined the thickness of the individual  
502 layers using the position of the long wavelength interference peak  
503 from Equation (1). The thicknesses obtained were; Ag 200 nm, CdS (adjacent to  
504 Ag) 156 nm, PbS 87 nm, and CdS (next to air) 97 nm. The thickness value  
505 of CdS and PbS layers measured optically agree very well with FEGEM  
506 measurements and are within experimental errors as shown in Table 1.  
507 From Fig. 5 we see that this waveguide is best suited for operation beyond  
508 5  $\mu\text{m}$ .  
509 → P Losses measurements were made at 1.55  $\mu\text{m}$  using a diode laser rather than  
510 a CO<sub>2</sub> laser at 10.6  $\mu\text{m}$  where the HGWS would ultimately be most use.  
511 The reason for using a 1.55  $\mu\text{m}$  laser was that we were very interested in  
interested in

NME

512 developing a new waveguide for secure communication systems at 1.55  
513 Clearly, solid-core silica fibers are a better choice for  
514 ~~most~~ applications at 1.55  $\mu\text{m}$ . The output of the diode laser was <sup>via</sup>  
515 ~~a~~ pigtaled single mode fiber terminated with a Selfoc lens. The <sup>spectral</sup> ~~spec~~  
516 response of the HGWs chosen for loss measurements was similar to  
517 ~~that~~ <sup>Figure</sup> shown in ~~Fig.~~ 5. In general, coating thicknesses were not optimized  
518 ~~for~~ lowest loss at 1.55  $\mu\text{m}$ ; however, as may be seen from the cut-back <sup>(see below)</sup> ~~meas~~  
519 data given in Table 2, the losses were still quite low. The <sup>measured</sup> ~~calculated~~  
520 losses in Table 2 may be compared to the theoretical losses ~~calculate~~  
521 ~~at~~ both 1.55 and 10.6  $\mu\text{m}$  using the  $n$  and  $k$  values of the dielectric <sup>(insert 9 from p. 27)</sup> ~~film~~  
522 ~~and~~ the theory of Miyagi and Kawakami <sup>[9]</sup>. The losses at 1.55  $\mu\text{m}$  are <sup>(K=0.39)</sup>  
523 ~~high~~ when PbS is used because  $k$  is rather large at this wavelength ~~K=0~~.  
524 At 10.6  $\mu\text{m}$   $k$  for PbS is 0.008 and the calculated loss for ~~Ag/CdS/PbS~~ <sup>Ag/CdS/PbS</sup>  
525 is over four times less than for Ag/CdS. The bending losses for  
526 ~~the~~ waveguides were not measured. It is well known that there is <sup>which</sup>  
527 ~~an~~ additional loss on bending for non-omni directional waveguides, <sup>(insert 17 from p. 28)</sup>  
528 varies as  $1/R$ , where  $R$  is the radius of the bend ~~N~~. Since  
529 ~~our~~ waveguides have no more than 3 dielectric layers it is expected <sup>that we</sup> ~~the~~  
530 would not observe omni directional behavior rather there would ~~be~~  
531 ~~an~~ added loss on bending.

532 Table 2 Loss values for 1,000- $\mu\text{m}$  bore HGWs with 1, 2, and 3-layer;  
533 dielectric coatings.

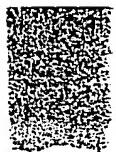
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534 X \_\_\_\_\_ Conclusions

	Multilayer	Measured l μm,	Theoretic 1.55 μ	Theoretic 10.6 μ	summary
536	We	Ag/CdS	0.2 ±	2.7	7.0 have
		Ag/PbS	0.26 :	2.5	7.3
		Ag/CdS/PbS	0.1 ±	8.6	3.3
		Ag/CdS/PbS/Cd	0.06 :	6.7	1.6

545 demonstrated that liquid-phase chemistry methods can be used to  
 546 deposit good optical quality CdS and PbS thin films to form both  
 547 single and multiple dielectric/metallic HGWs. The spectral response  
 548 for waveguides with these films deposited over Ag show well defined  
 549 interference bands indicating good film thickness uniformity over the  
 550 entire length of the guide. Varying the deposition time controls the  
 551 position of the interference peaks. Furthermore, we have found that  
 552 CdS and PbS are compatible and the deposition of each material does  
 553 not affect the underlying film. In this way the thickness of each  
 554 layer in the multilayer stack can be tailored for use over a wide  
 555 wavelength range. The final 3-layer stack showed that it is possible  
 556 to make a multilayer coating but we have yet to reduce the  
 557 attenuation to the level predicted by theory. Moreover, the measured  
 558 losses for the single-layer CdS or PbS films at 1.55 μm are in  
 559 general agreement with the well studied Ag/AgI HGWs at 10.6 μm (Fig. 28).  
 560 In reality, the greatest potential for these waveguides is likely to



561 be at 10.6  $\mu\text{m}$  for applications involving CO<sub>2</sub> laser power delivery and  
562 IR fiber sensors.

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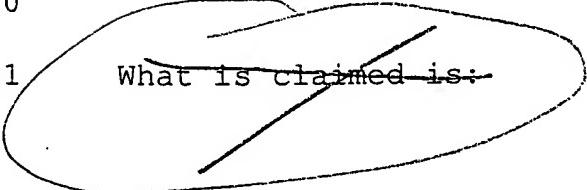
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617  
618  
619

619 ~~R~~ since other modifications and changes varied to fit  
620 particular operating requirements and environments will  
621 be apparent to those skilled in the art, the invention is  
622 not considered limited to the example chosen for purposes  
623 of disclosure and covers all changes and modifications  
624 which do not constitute departures from the true spirit  
625 and scope of this invention.

626  
627 Having thus described the invention, what is desired to  
628 be protected by Letters Patent is presented in the  
629 subsequently appended claims.

630  
631   
~~What is claimed is:~~

# DRAWINGS

CdS and PbS thin films were deposited using a wet chemistry deposition technique [4]. Cadmium nitrate and cadmium acetate are used as the source of Cd ions; lead nitrate the source of Pb ions; and thiourea, ( $\text{SC}(\text{NH}_2)_2$ ), the source of S ions. It is important to accurately control pH of these solutions since the CdS and PbS precipitates are stable only in the pH range 10 to 13. pH control and chelating is achieved using an ammonia solution for CdS and sodium hydroxide for PbS. Specifically, the pH for aqueous  $\text{Cd}(\text{NO}_3)_2$  is maintained between 11 and 12. Since the reaction rate also changes with the pH, it is necessary to calibrate the process for a given pH range. The thickness of both CdS and PbS increases with increasing concentration of Cd, Pb and S ions in solution and with increasing deposition time. From a study of the growth kinetics for both CdS and PbS thin films, we have established optimal concentration and flow rate conditions for uniform film deposition for tubing with lengths greater than 1.5 m. The best coatings were made using > 0.01 M solutions and pumping rates of 30 ml/min.

The multilayer dielectric structures of Ag/CdS/PbS and Ag/CdS/PbS/CdS, were prepared in a manner similar to the single-layer dielectric metallic waveguides. The different layers were coated in a sequential manner with an intermediate drying step after coating each layer. An Ag-only tube was coated with a single layer of either CdS or PbS when the 2- and 3-layer structures were being coated as an independent check on the thickness for each layer.

### 3. Optical characterization of Ag/sulfide film HGWs

The spectral characteristics of the Ag/CdS and Ag/PbS HGWs were evaluated using a Perkin Elmer UV-VIS spectrometer and Nicolet Protégé FTIR. A typical spectral response shows interference peaks which depend on the thickness of the dielectric thin films. The thickness of a single layer dielectric,  $d$ , was calculated from the peak position of the longest-wavelength interference band,  $\lambda_p^{(m)}$ , using the relation [12],

$$d = \frac{m \cdot \lambda_p^{(m)}}{4 \cdot \sqrt{n_1^2 - 1}}, \quad (1)$$

where  $m$  is the order of the interference maxima ( $m = 1$  for the longest-wavelength band);  $\lambda_p$  is the wavelength of the  $m^{\text{th}}$  absorption peak; and  $n_1$  is the refractive index of the dielectric film. From Eq. (1) we see that the peak position shifts to longer wavelengths as the thickness of the film increases. Spectral data has been used to determine the thickness of films prepared using different growth kinetics. The film thickness obtained from the optical measurements has then been correlated with direct thickness measurements using a field-emission scanning electron microscope (FESEM). In this way we obtain the growth kinetic curves for CdS and PbS deposited on Ag, shown in Fig. 1.

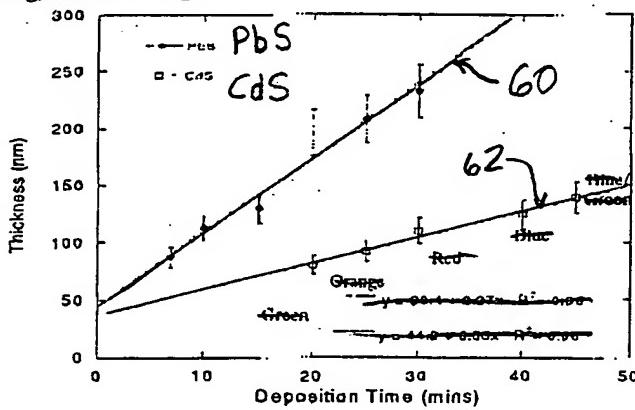
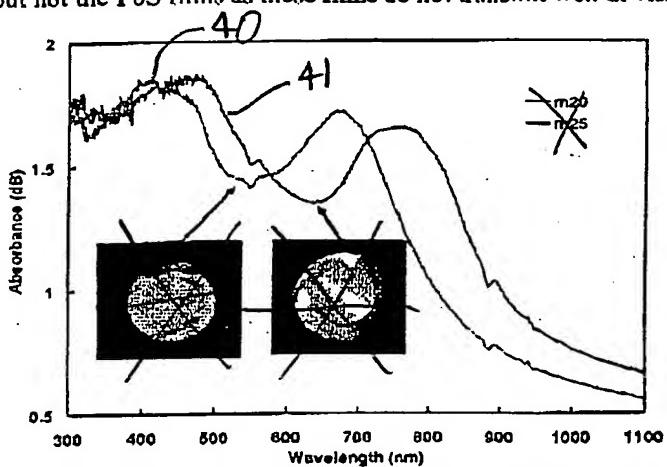


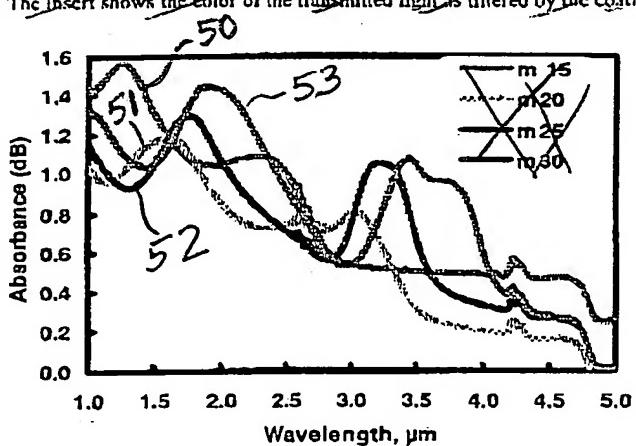
Fig. 1. The growth kinetic curves of the CdS and PbS thin films deposited in a Ag coated, 1,000  $\mu\text{m}$  bore HGW. The color indicated is the color that one would see looking through the waveguides with an optical microscope.

FIG. 1

~~All~~ films were prepared using 0.01 M solutions and a flow rate of 30 ml/min. The growth kinetics curves in Fig. 1 indicate that CdS (open symbols) has a slower growth rate on Ag compared to PbS (solid symbols) under similar conditions. The reason for the slower growth rate for CdS compared to PbS is that the CdS complexes with the ammonia used in the deposition and this decreases the rate of deposition. The data also show that the thickness of both dielectrics increases linearly with time, with growth rates of ~ 2.3 and 6.9 nm/min for CdS and PbS, respectively. The mechanism for linear growth is based on the Stranski-Krastanov model of island-like growth [16]. This mechanism involves nucleation and growth and we are in the linear growth region. This information is important as we need to carefully control film thickness for single and multilayer structures. The UV-VIS spectra for the Ag/CdS is given in Fig. 2 and the FTIR spectra for the Ag/PbS in Fig. 3. The spectral data clearly show that the position of the interference peaks shifts to longer wavelengths as the thickness of the film increases as predicted by Eq. (1). We also note from the insert photos in Fig. 2 that the HGWs show a color variation. This is due to selective filtering of the input white light by the thin film coating (interference effect). This color variation is seen with the CdS coatings but not the PbS films as these films do not transmit well at visible wavelengths.



~~Fig. 2 UV-VIS spectra of 1,000- $\mu\text{m}$ -bore Ag/CdS HGWs for different deposition times in minutes. The insert shows the color of the transmitted light as filtered by the coating.~~



~~Fig. 3 FTIR spectra of 1,000- $\mu\text{m}$ -bore Ag/PbS HGWs for different deposition times in minutes.~~

The thickness of the thin films was obtained by direct measurements using a FESEM. A photomicrograph taken with the FESEM for a typical cross-section of the thin film combination Ag/CdS/PbS is shown in Fig. 4. The CdS film appears darker than the PbS film because the in-lens detector produces a negative image of the secondary electron image. The thicknesses of the films shown in Fig. 4 are; Ag  $154 \pm 16$  nm, CdS  $169 \pm 16$  nm, PbS  $82 \pm 6$  nm. We do not see any degradation of the underlying film when the new film is deposited over it. That is, CdS and PbS do not react with each other during the deposition of successive layers. The film thickness measured from the FESEM micrographs of both single and multilayer dielectric film are summarized in Table 1. These results agree very well with the optical thickness measurement.

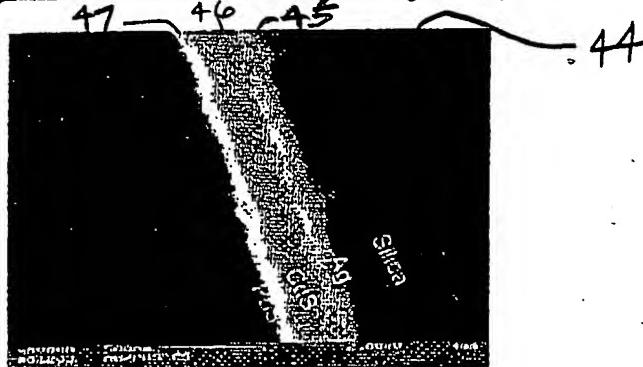


Fig. 4 Cross-sectional FESEM image of a 1.000- $\mu\text{m}$  bore Ag/CdS/PbS HGW. The CdS and PbS thin films are deposited sequentially inside the Ag coated HGW.

Table 1 Thickness values for CdS and PbS thin films on Ag determined from FESEM images

HGWs	Thickness of Ag nm	Thickness of CdS nm	Thickness of PbS nm
Ag/CdS	$156 \pm 16$	$172 \pm 16$	—
Ag/PbS	$158 \pm 20$	—	$96 \pm 16$
Ag/CdS/PbS	154	169	$82 \pm 6$

A series of 1.000- $\mu\text{m}$ -bore HGWs with 1, 2, and 3 dielectric layers deposited over Ag were fabricated using wet chemistry methods [8, 11]. The spectral losses for these straight waveguides are shown in Fig. 5. From Fig. 5, it may be seen that the addition of each dielectric layer shifts the interference peaks to longer wavelengths. This is a result of the increase in thickness with each additional layer.

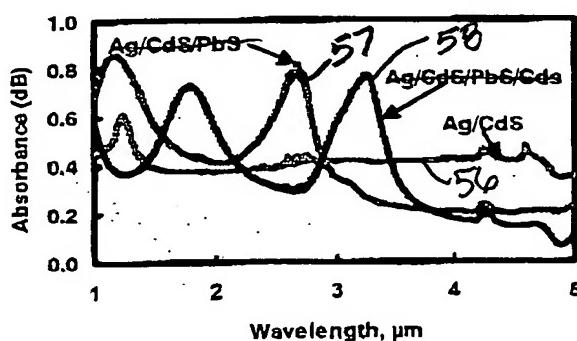
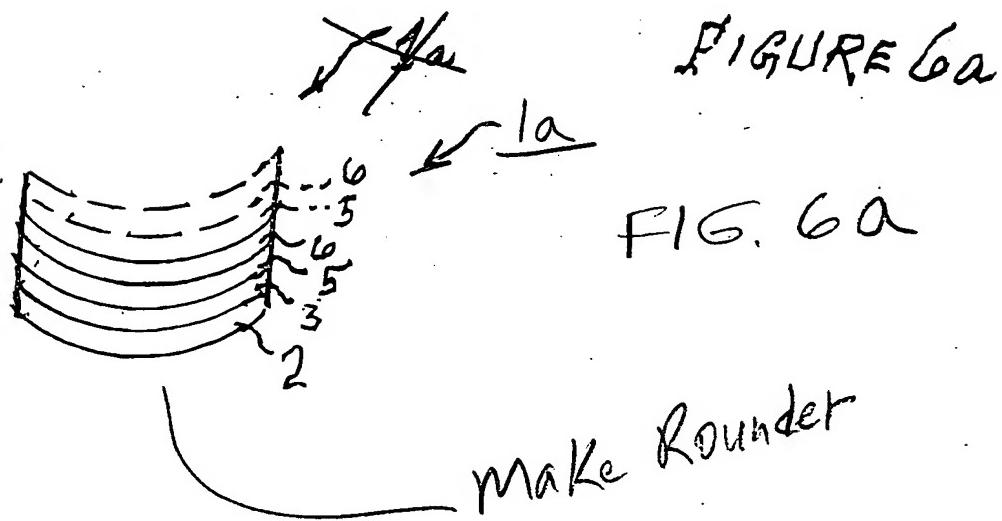
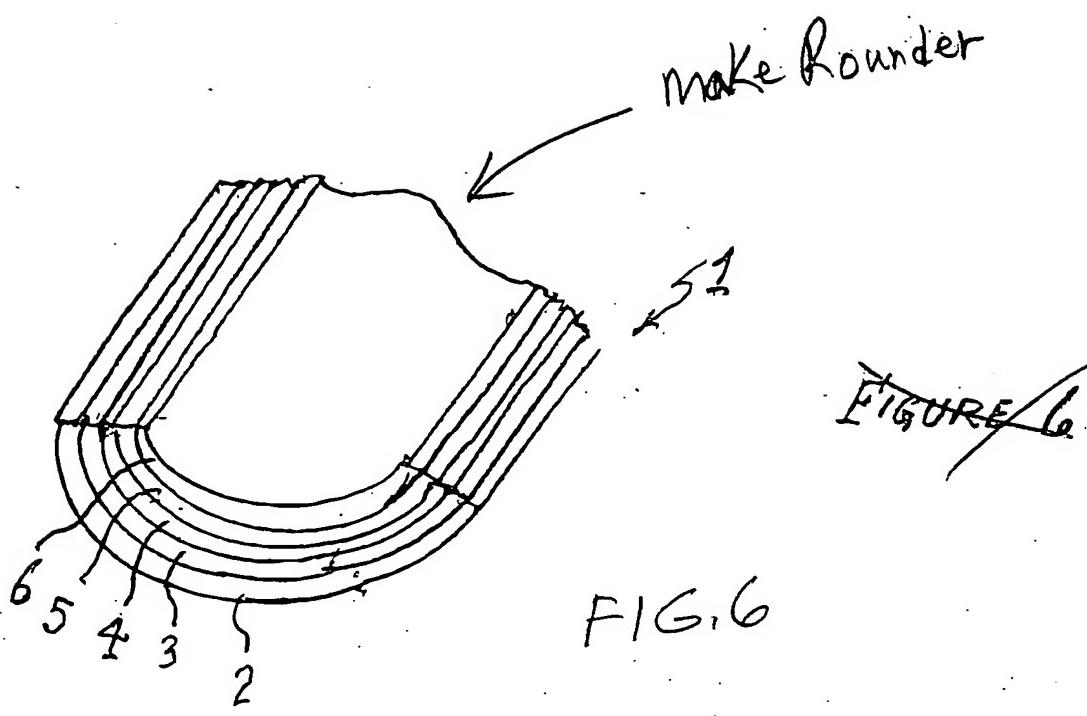


Fig. 5 FTIR Spectra of 1.000- $\mu\text{m}$  bore of 1, 2, and 3-layer dielectric coatings on Ag-coated HGWs. The spectra beyond 4 to 10  $\mu\text{m}$  region are essentially flat and featureless.



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